

SURVEY ON IT GOVERNANCE IN THE FEDERAL PUBLIC ADMINISTRATION (2010)

WHAT IS INFORMATION TECHNOLOGY GOVERNANCE?

The objective of Information Technology (IT) governance is to assure that IT adds value to the business. In the Federal Public Administration (FPA), proper IT governance promotes protection of critical information and contributes to the accomplishment of the institution's mission. The responsibility for good IT governance lies in the hands of the institution's managers, top managers and board.

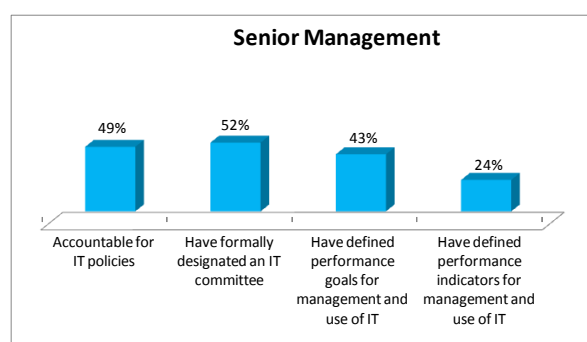
WHY DID TCU DO THIS SURVEY?

As a result of the situation exposed in Decision n° 1.603/2008-TCU-Plenário, the Court determined the execution of periodic surveys in order to follow the status of IT governance in the FPA and maintain an updated database about the subject. The 2010 survey is based on a 30 items questionnaire (152 subitems) organized according to seven out of eight dimensions of the Gespública(*). It was answered by 265 respondents which represent 79% of the IT budget foreseen in the federal public budget for 2010. Follow-up of IT governance and management indicators are essential in order to monitor the effectiveness of the measures adopted by superior government bodies (those in charge of producing the norms and rules of IT for each branch of government) as well as effectiveness of TCU's own deliberations.

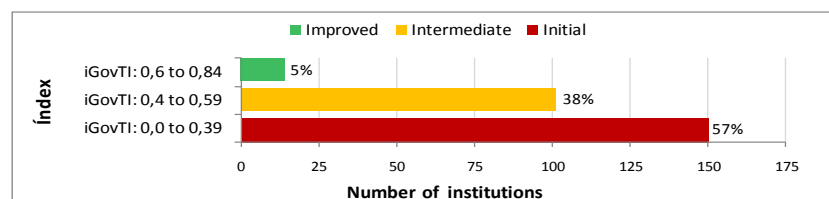
THE SURVEY'S OBJECTIVE

The information gathered by the survey points out the most vulnerable aspects of the FPA's IT governance and help to identify good practices and models to be disseminated, providing opportunities for TCU to stimulate the enhancement of IT governance in public administration. Additionally, the publication of the survey results allows each institution to compare their own IT governance and management practices to best practices and also to other FPA institutions practices. For each institution, this kind of evaluation can be a helpful resource for planning, defining goals and improving the use and management of Information Technology.

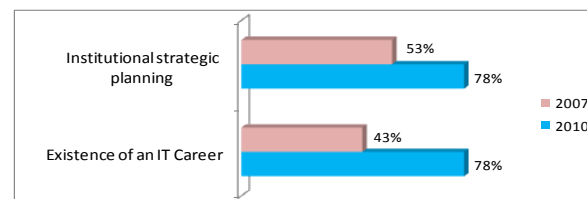
ISSUES THAT DESERVE ATTENTION



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SIGNS OF EVOLUTION



CONCLUSION

The survey results indicate that development of IT governance in the FPA is still in the beginning. In terms of the IT governance scale (iGovIT) elaborated by TCU's IT Audit Unit for this survey, 57% of the institutions that replied to the survey are in the "initial" level, 38% in "intermediate" level and only 5% in "improved" level. The concept that IT governance is responsibility of the institution's senior management (because it is an important area for the achievement of the institution's goals) is not yet widely spread. On the other hand, the evolution observed in two structural aspects (institutional strategic planning and existence of an IT career) suggests that improvements are on the way; improvements which might result in evolution of other IT governance and management aspects.

(*) **Note:** Gespública is a federal program established by the Decree n° 5.378/2005, coordinated by the Ministry of Planning, Budget and Management. This public excellence management model places parameters and criteria for the evaluation and improvement of public management.

TCU'S DELIBERATION:

Decision n° 2.308/2010-TCU-Plenário
Rapporteur: Minister Aroldo Cedraz

Business

External auditing of information technology governance in the federal government.

Mission

To ensure that information technology adds value to the business of the federal government for the benefit of society.

Vision

To be a unit that achieves excellence in improving and auditing information technology governance.